

Advanced functional analysis 2. Exercise sheet (Spectrum of closed operators) Winter term 2020 Markus Holzmann November 3, 2020

Exercise 7

Let X be a Banach space and  $T: X \supseteq \text{dom } T \to X$  a closed, linear operator in X. Show that for all  $\lambda, \mu \in \rho(T)$  the resolvent formula

$$(T-\lambda)^{-1} - (T-\mu)^{-1} = (\lambda-\mu)(T-\lambda)^{-1}(T-\mu)^{-1}$$

holds.

### Exercise 8

Show that the operator

$$T: C([0,1]) \to C([0,1]), \quad (Tu)(x) = \int_0^x u(t)dt, \quad x \in [0,1],$$

is bounded<sup>1</sup> and that its spectral radius is smaller than its norm, that is,  $\inf_{n \in \mathbb{N}} ||T^n||^{1/n} < ||T||$ . Finally, compute the spectrum of T.

### Exercise 9

Compute the point, continuous, and residual spectrum of the following operators:

- 1. T as given in Exercise 1 on the first exercise sheet;
- 2.  $U: \ell^2 \to \ell^2, (x_n)_{n \in \mathbb{N}} \mapsto (m_n x_n)_{n \in \mathbb{N}}$ , where the sequence  $(m_n)_{n \in \mathbb{N}} \in \ell^2$  is given by

$$m_n = \begin{cases} \frac{1}{n}, & n \le 17, \\ 0, & n > 17; \end{cases}$$

- 3.  $W: \ell^2 \to \ell^2, (x_n)_{n \in \mathbb{N}} \mapsto (\frac{1}{n} x_n)_{n \in \mathbb{N}}.$
- 4.  $Z: \ell^2 \supseteq \operatorname{dom} Z \to \ell^2, (x_n)_{n \in \mathbb{N}} \mapsto (nx_n)_{n \in \mathbb{N}}, \operatorname{dom} Z = \{(x_n)_{n \in \mathbb{N}} \in \ell^2 : (nx_n)_{n \in \mathbb{N}} \in \ell^2\}.$

Are all of these operators bounded?

A short repetition: The adjoint of a bounded, everywhere defined operator. Let  $(\mathcal{H}, (\cdot, \cdot)_{\mathcal{H}}), (\mathcal{K}, (\cdot, \cdot)_{\mathcal{K}})$  be Hilbert spaces and T a bounded, everywhere defined linear operator from  $\mathcal{H}$  to  $\mathcal{K}, T \in \mathcal{L}(\mathcal{H}, \mathcal{K})$ . It can be shown with the help of the Fréchet-Riesz theorem that there exists a unique bounded operator  $S \in \mathcal{L}(\mathcal{K}, \mathcal{H})$  such that

$$(Th,k)_{\mathcal{K}} = (h,Sk)_{\mathcal{H}} \quad \forall h \in \mathcal{H}, k \in \mathcal{K}$$

is satisfied. The operator S is said to be the *adjoint operator* of T and one writes  $T^*$  instead of S.

<sup>&</sup>lt;sup>1</sup>As usual we consider C([0,1]) to be equipped with the norm  $\|\cdot\|_{\infty}$ .

## Exercise 10

Let  $\mathcal{H}$  be a Hilbert space. Proof the following statements.

- 1. If  $A \in \mathcal{L}(\mathcal{H})$  is selfadjoint, that is,  $A = A^*$  holds, then  $\sigma_p(A) \subseteq \mathbb{R}$  holds.
- 2. If  $A : \mathcal{H} \to \mathcal{H}$  is an operator (which, a priori, may be unbounded) satisfying

$$(Ax, y)_{\mathcal{H}} = (x, Ay)_{\mathcal{H}} \quad \forall x, y \in \mathcal{H},$$

then A is bounded and, hence, selfadjoint.

HINT: Which important criterion for boundedness of an operator do you know?

### Exercise 11

Consider the operator

$$A: L^{2}([0,1]) \to L^{2}([0,1]), \quad (Af)(t) = tf(t), \quad t \in [0,1].$$

Show that A is bounded and selfadjoint, and compute the operator norm of A. Moreover, compute  $\sigma_p(A)$ .

# Exercise 12

Let S be a densely defined linear operator in a Hilbert space  $\mathcal{H}$ . Show that the identity

dom  $S^* = \{g \in \mathcal{H} : \operatorname{dom} S \ni f \mapsto (Sf, g) \text{ is continuous}\}$ 

holds true.