

Advanced functional analysis **7. Exercise sheet** (Essential spectrum, Schrödinger operators) Winter term 2020 Markus Holzmann January 26, 2021

Exercise 37

Let A, B be self adjoint operators in \mathcal{H} and let $\lambda, \mu \in \rho(A) \cap \rho(B)$. Show that

$$(B-\mu)^{-1} - (A-\mu)^{-1} = \left(1 + (\mu-\lambda)(A-\mu)^{-1}\right)\left((B-\lambda)^{-1} - (A-\lambda)^{-1}\right)\left(1 + (\mu-\lambda)(B-\mu)^{-1}\right)$$

holds.

Hint: Use the resolvent formula from Exercise 7.

In the following 2 examples we assume that T is a self adjoint operator in the Hilbert space \mathcal{H} and that V is symmetric. The operator V is called T-compact, if dom $T \subset \text{dom } V$ and V: (dom $T, \|\cdot\|_T$) $\to \mathcal{H}$ is compact (here $\|\cdot\|_T$ denotes the graph norm associated to T).

Exercise 38

Assume that V is T-compact. Show that V is T-bounded with T-bound zero. *Hint:* each symmetric operator is closable!

Exercise 39

Assume that V is T-compact. Show the following statements:

- (i) T + V is self adjoint.
- (ii) The graph norms for T and T + V are equivalent to each other and -V is (T + V)-compact.
- (iii) $\sigma_{ess}(T) = \sigma_{ess}(T+V)$ and T and T+V have the same singular sequences.

Exercise 40

Let $a, b \in \mathbb{R}$. A function $u \in L^2(a, b)$ is called *weakly differentiable*, if there exists $v \in L^2(a, b)$ such that

$$\int_{a}^{b} u(x)\varphi'(x)dx = -\int_{a}^{b} v(x)\varphi(x)dx$$

holds for all $\varphi \in C_0^{\infty}(a, b)$. In this case we write u' = v. Show that u is weakly differentiable if and only if u is absolutely continuous on [a, b] and $u' \in L^2(a, b)$. For this, proceed as follows:

(i) Define for $v \in L^2(a, b)$ and $c \in \mathbb{R}$

$$u(x) := c + \int_a^x v(y) \mathrm{d}y, \qquad x \in (a, b).$$

Then u is weakly differentiable and its weak derivative is given by u' = v.

(ii) Conversely, let u be weakly differentiable and denote by u' its weak derivative. Then there exists a constant $c \in \mathbb{R}$ such that

$$u(x) = c + \int_{a}^{x} u'(y) \mathrm{d}y \tag{1}$$

for almost all $x \in (a, b)$.

HINTS: For $\varphi \in C_0^{\infty}(a,b)$ and $x \in (a,b)$ the relation $\varphi(x) = -\int_x^b \varphi'(y) dy$ holds. Moreover, exchanging the order of integration might help. Finally, it is allowed to use (without proof) the following statement: If

$$\int_a^b u\varphi' \mathrm{d}x = 0 \quad \forall \, \varphi \in C_0^\infty(a,b),$$

then there exists a constant c such that u(x) = c for almost all $x \in (a, b)$.

Exercise 41

Let $k \in L^2(\mathbb{R}^2)$ and define in $L^2(\mathbb{R})$ the operator

$$K: L^2(\mathbb{R}) \to L^2(\mathbb{R}), \qquad (Kf)(x) := \int_{\mathbb{R}} k(x, y) f(y) dy.$$

prove that K is compact.

Hint: You can use (without proof) that functions $\sum_{j=1}^{N} c_j \mathbb{1}_{Q_j}$ with $Q_j = [a_j, b_j] \times [c_j, d_j]$ and $c_j \in \mathbb{C}$ are dense in $L^2(\mathbb{R}^2)$.

Exercise 42

Let $T: L^2(\mathbb{R}) \supset H^2(\mathbb{R}), Tf = -f''$. Show that

$$(T-\lambda)^{-1}f(x) = \int_{\mathbb{R}} \frac{1}{2\sqrt{-\lambda}} e^{-\sqrt{-\lambda}|x-y|} f(y) dy$$

holds for any $\lambda < 0$.